



PATENT  
Atty Docket No.: 10004808-1

AF/2813

**In The U.S. Patent and Trademark Office**

**In Re the Application of:**

**Inventor(s):** Osamu S. Nakagawa      **Confirmation No.:** 3635  
**Serial No.:** 09/891,324      **Examiner:** Schillinger, Laura M.  
**Filed:** June 27, 2001      **Group Art Unit:** 2813  
**Title:** PROCESS FOR HIGH-DIELECTRIC CONSTANT METAL-INSULATOR  
METAL CAPACITOR IN VLSI MULTI-LEVEL METALIZATION SYSTEMS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF HAND-DELIVERY WITH THE USPTO**

I hereby certify that this correspondence is being deposited with the United States Patent and Trademark Office. This correspondence contains the following document(s):

1 sheet of Transmittal Letter for Response/Amendment. (2 copies).  
12 sheets of Amendment Under 37 C.F.R. § 1.111.

Respectfully submitted,

MANNAVA & KANG

Timothy B. Kang  
Reg. No.: 46,423

On May 27, 2003

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Osamu S. Nakagawa

Confirmation No.: 3635

Application No.: 09/891,324

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Title: PROCESS FOR HIGH-DIELECTRIC CONSTANT METAL-INSULATOR METAL CAPACITOR  
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TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- (X) Response/Amendment ( ) Petition to extend time to respond  
( ) New fee as calculated below ( ) Supplemental Declaration  
(X) No additional fee (Address envelope to "Mail Stop Non-Fee Amendment")  
( ) Other: \_\_\_\_\_ (fee \$ \_\_\_\_\_)

CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS	13	MINUS	20	= 0	X \$18	\$ 0
INDEP. CLAIMS	1	MINUS	3	= 0	X \$84	\$ 0
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$280	\$ 0
EXTENSION FEE	1ST MONTH \$110.00	2ND MONTH \$410.00	3RD MONTH \$930.00	4TH MONTH \$1450.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date of Deposit:

Typed Name:

Signature: \_\_\_\_\_

Respectfully submitted,

Osamu S. Nakagawa

By

Timothy B. Kang

Attorney/Agent for Applicant(s)

Reg. No. 46,423

Date: May 27, 2003